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## TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER 19025.053./P30383US01

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/579,500 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/US2004/038496 17 November 2004 17 November 2003 TITLE OF INVENTION Methods and Agents for Screening for Compounds Capable of Modulating Her2 Expression APPLICANT(S) FOR DO/EO/US Anuradha MEHTA et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. c. I is not required, as the application was filed in the United States Receiving Office (RO/US); however, a courtesy copy is attached. 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4) and a courtesy copy is enclosed. 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Other items or information:

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450 Alexandria, VA 2313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) ATTORNEY'S DOCKET NUMBER INTERNATIONAL APPLICATION NO. 10/579,500 PCT/US2004/038496 19025.053/P30383US01 21. The following fees are submitted: Office use only Applicant use \$ 00.00 \$ 00.00 \$ 00.00 **TOTAL OF ABOVE CALCULATIONS =** 00.00 Additional fee for specification and drawings filed in paper over 100 sheets (including sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or fraction thereof RATE (round up to a whole number) \*\* -100 =/ 50 = x \$250.00 \$ 0.00 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$ 130.00 claimed priority date (37 CFR 1.492(e)). NUMBER FILED NUMBER EXTRA **CLAIMS RATE** Total claims -20 =\$50.00 \$ 00.00 Independent claims - 3 = \$200.00 \$ 00.00 MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360.00 \$ **TOTAL OF ABOVE CALCULATIONS =** 00.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½. \$ \$ 00.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(f)). TOTAL NATIONAL FEE = \$ 00.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an \$ appropriate cover sheet (37 CFR 3.28, 3.21). \$40.00 per property TOTAL FEES ENCLOSED = 09/20/2006 HKAYPAGH 00000103 502387 10579500 00.00Amount to be 130.00 DA 01 FC:1617 refunded: Amount to be \$130.00 charged A check in the amount of \$ to cover the above fees is enclosed. Please charge my Deposit Account No. 50-2387 in the amount of \$\frac{130.00}{200}\$ to cover the above fees. A duplicate copy of this sheet is The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2387. A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: ARNOLD & PORTER LLP Attn: IP Docketing 555 Twelfth Street, N.W. David R. Marsh/Kristan L. Lahsbery Filed: September 15, 2006 Washington, DC 20004 NAME Tel.: 202-942-5000 41,408/53,183 Fax: 202-942-5999 REGISTRATION NUMBER

## ARNOLD & PORTER LLP

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555 Twelfth Street, NW Washington, DC 20004-1206

September 15, 2006

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Application No. 10/579,500

Filed: May 16, 2006

Title: Methods and Agents for Screening for Compounds Capable

of Modulating Her2 Expression ants: Anuradha MEHTA *et al.* 

Applicants: Anuradha MEHTA *et al.* Atty. Docket: 19025.053/P30383US01

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office (PTO):

- 1. a Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 USC 371; and
- 2. a Combined Declaration and Power of Attorney for Patent Application executed by Anuradha MEHTA and Christopher Robert TROTTA (3 pages).

Applicants request that the following fees be charged to Deposit Account No. 50-2387 referencing docket number 19025.053:

\$ 130.00 surcharge fee

## ARNOLD & PORTER LLP

Commissioner for Patents U.S. Application No. 10/579,500

Page 2

In the event that extensions of time beyond those petitioned for herewith are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned. Applicants do not believe any fees, other than the surcharge fee (\$130.00), are due in conjunction with this filing. However, if any additional fees are required in the present application, including any fees for extensions of time, then the Commissioner is hereby authorized to charge such fees to Arnold & Porter LLP Deposit Account No. 50-2387 referencing matter number 19025.053.

Respectfully submitted,

David R. Marsh (Reg. No. 41,408)

Kristan L. Lansbery (Reg. No. 53,183)

Kristan Lander

**Enclosures**